Objectives

After completing this section, you should be able to

1. identify the ultraviolet region of the electromagnetic spectrum which is of most use to organic chemists.
2. interpret the ultraviolet spectrum of 1,3-butadiene in terms of the molecular orbitals involved.
3. describe in general terms how the ultraviolet spectrum of a compound differs from its infrared and NMR spectra.

Key Terms

Make certain that you can define, and use in context, the key term below.

• ultraviolet (UV) spectroscopy

Study Notes

Ultraviolet spectroscopy provides much less information about the structure of molecules than do the spectroscopic techniques studied earlier (infrared spectroscopy, mass spectroscopy, and NMR spectroscopy). Thus, your study of this technique will be restricted to a brief overview. You should, however, note that for an organic chemist, the most useful ultraviolet region of the electromagnetic spectrum is that in which the radiation has a wavelength of between 200 and 400 nm.

When white light passes through or is reflected by a colored substance, a characteristic portion of the mixed wavelengths is absorbed. The remaining light will then assume the complementary color to the wavelength(s) absorbed. This relationship is demonstrated by the color wheel shown below. Here, complementary colors are diametrically opposite
each other. Thus, absorption of 420-430 nm light renders a substance yellow, and absorption of 500-520 nm light makes it red. Green is unique in that it can be created by absorption close to 400 nm as well as absorption near 800 nm.

Early humans valued colored pigments, and used them for decorative purposes. Many of these were inorganic minerals, but several important organic dyes were also known. These included the crimson pigment, kermesic acid, the blue dye, indigo, and the yellow saffron pigment, crocetin. A rare dibromo-indigo derivative, punicin, was used to color the robes of the royal and wealthy. The deep orange hydrocarbon carotene is widely distributed in plants, but is not sufficiently stable to be used as permanent pigment, other than for food coloring. A common feature of all these colored compounds, displayed below, is a system of extensively conjugated $\pi$-electrons.

### The Electromagnetic Spectrum

The visible spectrum constitutes but a small part of the total radiation spectrum. Most of the radiation that surrounds us cannot be seen, but can be detected by dedicated sensing instruments. This electromagnetic spectrum ranges from very short wavelengths (including gamma and x-rays) to very long wavelengths (including microwaves and broadcast radio waves). The following chart displays many of the important regions of this spectrum, and demonstrates the inverse relationship between wavelength and frequency (shown in the top equation below the chart).

The energy associated with a given segment of the spectrum is proportional to its frequency. The bottom equation describes this relationship, which provides the energy carried by a photon of a given wavelength of radiation.

$$E = \frac{hc}{\lambda}$$

where:
- $E$ = energy (J)
- $h$ = Planck’s constant ($6.63 \times 10^{-34}$ Js)
- $c$ = speed of light ($3 \times 10^8$ m/s)
- $\lambda$ = wavelength (m)

To obtain specific frequency, wavelength and energy values use this calculator.
UV-Visible Absorption Spectra

To understand why some compounds are colored and others are not, and to determine the relationship of conjugation to color, we must make accurate measurements of light absorption at different wavelengths in and near the visible part of the spectrum. Commercial optical spectrometers enable such experiments to be conducted with ease, and usually survey both the near ultraviolet and visible portions of the spectrum.

The visible region of the spectrum comprises photon energies of 36 to 72 kcal/mole, and the near ultraviolet region, out to 200 nm, extends this energy range to 143 kcal/mole. Ultraviolet radiation having wavelengths less than 200 nm is difficult to handle, and is seldom used as a routine tool for structural analysis.

The energies noted above are sufficient to promote or excite a molecular electron to a higher energy orbital. Consequently, absorption spectroscopy carried out in this region is sometimes called "electronic spectroscopy". A diagram showing the various kinds of electronic excitation that may occur in organic molecules is shown on the left. Of the six transitions outlined, only the two lowest energy ones (left-most, colored blue) are achieved by the energies available in the 200 to 800 nm spectrum. As a rule, energetically favored electron promotion will be from the highest occupied molecular orbital (HOMO) to the lowest unoccupied molecular orbital (LUMO), and the resulting species is called an excited state.

When sample molecules are exposed to light having an energy that matches a possible electronic transition within the molecule, some of the light energy will be absorbed as the electron is promoted to a higher energy orbital. An optical spectrometer records the wavelengths at which absorption occurs, together with the degree of absorption at each wavelength. The resulting spectrum is presented as a graph of absorbance (A) versus wavelength, as in the isoprene spectrum shown below. Since isoprene is colorless, it does not absorb in the visible part of the spectrum and this region is not displayed on the graph. Absorbance usually ranges from 0 (no absorption) to 2 (99% absorption), and is precisely defined in context with spectrometer operation.

Electronic transitions

Let’s take as our first example the simple case of molecular hydrogen, H₂. As you may recall from section 2.1A, the molecular orbital picture for the hydrogen molecule consists of one bonding σ MO, and a higher energy antibonding σ* MO. When the molecule is in the ground state, both electrons are paired in the lower-energy bonding orbital – this is the Highest Occupied Molecular Orbital (HOMO). The antibonding σ* orbital, in turn, is the Lowest Unoccupied Molecular Orbital (LUMO).
If the molecule is exposed to light of a wavelength with energy equal to $\Delta E$, the HOMO-LUMO energy gap, this wavelength will be absorbed and the energy used to bump one of the electrons from the HOMO to the LUMO – in other words, from the $\sigma$ to the $\sigma^*$ orbital. This is referred to as a $\sigma$ - $\sigma^*$ transition. $\Delta E$ for this electronic transition is 258 kcal/mol, corresponding to light with a wavelength of 111 nm.

When a double-bonded molecule such as ethene (common name ethylene) absorbs light, it undergoes a $\pi$ - $\pi^*$ transition. Because $\pi$ - $\pi^*$ energy gaps are narrower than $\sigma$ - $\sigma^*$ gaps, ethene absorbs light at 165 nm - a longer wavelength than molecular hydrogen.

The electronic transitions of both molecular hydrogen and ethene are too energetic to be accurately recorded by standard UV spectrophotometers, which generally have a range of 220 – 700 nm. Where UV-vis spectroscopy becomes useful to most organic and biological chemists is in the study of molecules with conjugated pi systems. In these groups, the energy gap for $\pi$ - $\pi^*$ transitions is smaller than for isolated double bonds, and thus the wavelength absorbed is longer. Molecules or parts of molecules that absorb light strongly in the UV-vis region are called chromophores.

Next, we'll consider the 1,3-butadiene molecule. From valence orbital theory alone we might expect that the C$_2$-C$_3$ bond in this molecule, because it is a sigma bond, would be able to rotate freely.

Experimentally, however, it is observed that there is a significant barrier to rotation about the C$_2$-C$_3$ bond, and that the entire molecule is planar. In addition, the C$_2$-C$_3$ bond is 148 pm long, shorter than a typical carbon-carbon single bond (about 154 pm), though longer than a typical double bond (about 134 pm).

Molecular orbital theory accounts for these observations with the concept of delocalized $\pi$ bonds. In this picture, the
four $p$ atomic orbitals combine mathematically to form four pi molecular orbitals of increasing energy. Two of these - the bonding pi orbitals - are lower in energy than the $p$ atomic orbitals from which they are formed, while two - the antibonding pi orbitals - are higher in energy.

The lowest energy molecular orbital, $\pi_1$, has only constructive interaction and zero nodes. Higher in energy, but still lower than the isolated $p$ orbitals, the $\pi_2$ orbital has one node but two constructive interactions - thus it is still a bonding orbital overall. Looking at the two antibonding orbitals, $\pi_3^*$ has two nodes and one constructive interaction, while $\pi_4^*$ has three nodes and zero constructive interactions.

By the *aufbau* principle, the four electrons from the isolated $2p_z$ atomic orbitals are placed in the bonding $\pi_1$ and $\pi_2$ MO’s. Because $\pi_1$ includes constructive interaction between C$_2$ and C$_3$, there is a degree, in the 1,3-butadiene molecule, of pi-bonding interaction between these two carbons, which accounts for its shorter length and the barrier to rotation. The valence bond picture of 1,3-butadiene shows the two pi bonds as being isolated from one another, with each pair of pi electrons ‘stuck’ in its own pi bond. However, molecular orbital theory predicts (accurately) that the four pi electrons are to some extent delocalized, or ‘spread out’, over the whole pi system.

1,3-butadiene is the simplest example of a system of *conjugated pi bonds*. To be considered conjugated, two or more pi bonds must be separated by only one single bond – in other words, there cannot be an intervening $sp^3$-hybridized carbon, because this would break up the overlapping system of parallel $p$ orbitals. In the compound below, for example,
the C₁-C₂ and C₃-C₄ double bonds are conjugated, while the C₆-C₇ double bond is isolated from the other two pi bonds by sp²-hybridized Cs.

A very important concept to keep in mind is that there is an inherent thermodynamic stability associated with conjugation. This stability can be measured experimentally by comparing the heat of hydrogenation of two different dienes. (Hydrogenation is a reaction type that we will learn much more about in chapter 15: essentially, it is the process of adding a hydrogen molecule - two protons and two electrons - to a p bond). When the two conjugated double bonds of 1,3-pentadiene are 'hydrogenated' to produce pentane, about 225 kJ is released per mole of pentane formed. Compare that to the approximately 250 kJ/mol released when the two isolated double bonds in 1,4-pentadiene are hydrogenated, also forming pentane.

The conjugated diene is lower in energy; in other words, it is more stable. In general, conjugated pi bonds are more stable than isolated pi bonds.

Conjugated pi systems can involve oxygen and nitrogen atoms as well as carbon. In the metabolism of fat molecules, some of the key reactions involve alkenes that are conjugated to carbonyl groups.

In molecules with extended pi systems, the HOMO-LUMO energy gap becomes so small that absorption occurs in the visible rather than the UV region of the electromagnetic spectrum. Beta-carotene, with its system of 11 conjugated double bonds, absorbs light with wavelengths in the blue region of the visible spectrum while allowing other visible wavelengths – mainly those in the red-yellow region - to be transmitted. This is why carrots are orange.
Exercise 2.2.1

Identify all conjugated and isolated double bonds in the structures below. For each conjugated pi system, specify the number of overlapping $p$ orbitals, and how many pi electrons are shared among them.

Exercise 2.2.2

Identify all isolated and conjugated pi bonds in lycopene, the red-colored compound in tomatoes. How many pi electrons are contained in the conjugated pi system?

Exercises

Questions

Q14.7.1

What is the energy range for 300 nm to 500 nm in the ultraviolet spectrum? How does this compare to energy values from NMR and IR spectroscopy?

Solutions

S14.7.1

$E = \frac{hc}{\lambda}$

$E = \frac{(6.62 \times 10^{-34} \text{ Js})(3.00 \times 10^8 \text{ m/s})}{(3.00 \times 10^{-7} \text{ m})}$

$E = 6.62 \times 10^{-19} \text{ J}$

The range of $3.972 \times 10^{-19}$ to $6.62 \times 10^{-19}$ joules. This energy range is greater in energy than the in NMR and IR.
Objective

After completing this section, you should be able to use data from ultraviolet spectra to assist in the elucidation of unknown molecular structures.

Study Notes

It is important that you recognize that the ultraviolet absorption maximum of a conjugated molecule is dependent upon the extent of conjugation in the molecule.

When a double-bonded molecule such as ethene (common name ethylene) absorbs light, it undergoes a $\pi - \pi^*$ transition. Because $\pi - \pi^*$ energy gaps are narrower than $\sigma - \sigma^*$ gaps, ethene absorbs light at 165 nm - a longer wavelength than molecular hydrogen.

The electronic transitions of both molecular hydrogen and ethene are too energetic to be accurately recorded by standard UV spectrophotometers, which generally have a range of 220 – 700 nm. Where UV-vis spectroscopy becomes useful to most organic and biological chemists is in the study of molecules with conjugated pi systems. In these groups, the energy gap for $\pi - \pi^*$ transitions is smaller than for isolated double bonds, and thus the wavelength absorbed is longer. Molecules or parts of molecules that absorb light strongly in the UV-vis region are called chromophores.

Let’s revisit the MO picture for 1,3-butadiene, the simplest conjugated system (see section 2.1B). Recall that we can draw a diagram showing the four pi MO’s that result from combining the four $2p_z$ atomic orbitals. The lower two orbitals are bonding, while the upper two are antibonding.

Comparing this MO picture to that of ethene, our isolated pi-bond example, we see that the HOMO-LUMO energy gap is
indeed smaller for the conjugated system. 1,3-butadiene absorbs UV light with a wavelength of 217 nm.

As conjugated pi systems become larger, the energy gap for a $\pi - \pi^*$ transition becomes increasingly narrow, and the wavelength of light absorbed correspondingly becomes longer. The absorbance due to the $\pi - \pi^*$ transition in 1,3,5-hexatriene, for example, occurs at 258 nm, corresponding to a $\Delta E$ of 111 kcal/mol.

\[
\begin{align*}
\text{1,3,5-hexatriene} & \quad \Delta E = 111 \text{ kcal/mol} \quad 258 \text{ nm} \\
\end{align*}
\]

In molecules with extended pi systems, the HOMO-LUMO energy gap becomes so small that absorption occurs in the visible rather then the UV region of the electromagnetic spectrum. Beta-carotene, with its system of 11 conjugated double bonds, absorbs light with wavelengths in the blue region of the visible spectrum while allowing other visible wavelengths – mainly those in the red-yellow region - to be transmitted. This is why carrots are orange.

The conjugated pi system in 4-methyl-3-penten-2-one gives rise to a strong UV absorbance at 236 nm due to a $\pi - \pi^*$ transition. However, this molecule also absorbs at 314 nm. This second absorbance is due to the transition of a non-bonding (lone pair) electron on the oxygen up to a $\pi^*$ antibonding MO:

\[
\begin{align*}
\text{4-methyl-3-penten-2-one} & \quad \Delta E = 11 \text{ kcal/mol} \quad 236 \text{ nm} \\
\text{314 nm} & \quad \Delta E = 314 \text{ nm} \\
\end{align*}
\]

This is referred to as an $n - \pi^*$ transition. The nonbonding (n) MO’s are higher in energy than the highest bonding p orbitals, so the energy gap for an $n - \pi^*$ transition is smaller that that of a $\pi - \pi^*$ transition – and thus the $n - \pi^*$ peak is at a longer wavelength. In general, $n - \pi^*$ transitions are weaker (less light absorbed) than those due to $\pi - \pi^*$ transitions.

Looking at UV-vis spectra

We have been talking in general terms about how molecules absorb UV and visible light – now let’s look at some actual examples of data from a UV-vis absorbance spectrophotometer. The basic setup is the same as for IR spectroscopy: radiation with a range of wavelengths is directed through a sample of interest, and a detector records which wavelengths
were absorbed and to what extent the absorption occurred. Below is the absorbance spectrum of an important biological molecule called nicotinamide adenine dinucleotide, abbreviated NAD$^+$ (we'll learn what it does in section 16.4). This compound absorbs light in the UV range due to the presence of conjugated pi-bonding systems.

You'll notice that this UV spectrum is much simpler than the IR spectra we saw earlier: this one has only one peak, although many molecules have more than one. Notice also that the convention in UV-vis spectroscopy is to show the baseline at the bottom of the graph with the peaks pointing up. Wavelength values on the x-axis are generally measured in nanometers (nm) rather than in cm$^{-1}$ as is the convention in IR spectroscopy.

Peaks in UV spectra tend to be quite broad, often spanning well over 20 nm at half-maximal height. Typically, there are two things that we look for and record from a UV-Vis spectrum. The first is $\lambda_{\text{max}}$, which is the wavelength at maximal light absorbance. As you can see, NAD$^+$ has $\lambda_{\text{max}} = 260$ nm. We also want to record how much light is absorbed at $\lambda_{\text{max}}$. Here we use a unitless number called absorbance, abbreviated 'A'. This contains the same information as the 'percent transmittance' number used in IR spectroscopy, just expressed in slightly different terms. To calculate absorbance at a given wavelength, the computer in the spectrophotometer simply takes the intensity of light at that wavelength before it passes through the sample ($I_0$), divides this value by the intensity of the same wavelength after it passes through the sample ($I$), then takes the log $\log_{10}$ of that number:

$$A = \log \frac{I_0}{I}$$

You can see that the absorbance value at 260 nm ($A_{260}$) is about 1.0 in this spectrum.

Example 14.8.1

Express $A = 1.0$ in terms of percent transmittance (%T, the unit usually used in IR spectroscopy (and sometimes in UV-vis as well).
Here is the absorbance spectrum of the common food coloring Red #3:

$\lambda_{\text{max}} = 524 \text{ nm}$

Here, we see that the extended system of conjugated pi bonds causes the molecule to absorb light in the visible range. Because the $\lambda_{\text{max}}$ of 524 nm falls within the green region of the spectrum, the compound appears red to our eyes.

Now, take a look at the spectrum of another food coloring, Blue #1:
Here, maximum absorbance is at 630 nm, in the orange range of the visible spectrum, and the compound appears blue.

**Example 14.8.2**

How large is the $\pi - \pi^*$ transition in 4-methyl-3-penten-2-one?

**Solution**

**Example 14.8.3**

Which of the following molecules would you expect absorb at a longer wavelength in the UV region of the electromagnetic spectrum? Explain your answer.

**Solution**

**Exercise**
Questions
Q14.8.1
Which of the following would show UV absorptions in the 200-300 nm range?

A)  

B)  

C)  

D)  

Solutions
S14.8.1
B would be the only one to show in that range.

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