Nucleophilic Addition to Aldehydes and Ketones

The result of carbonyl bond polarization, however it is depicted, is straightforward to predict. The carbon, because it is electron-poor, is an electrophile: it is a great target for attack by an electron-rich nucleophilic group. Because the oxygen end of the carbonyl double bond bears a partial negative charge, anything that can help to stabilize this charge by accepting some of the electron density will increase the bond’s polarity and make the carbon more electrophilic. Very often a general acid group serves this purpose, donating a proton to the carbonyl oxygen.

The same effect can also be achieved if a Lewis acid, such as a magnesium ion, is located near the carbonyl oxygen.

Unlike the situation in a nucleophilic substitution reaction, when a nucleophile attacks an aldehyde or ketone carbon there is no leaving group – the incoming nucleophile simply ‘pushes’ the electrons in the pi bond up to the oxygen.

Alternatively, if you start with the minor resonance contributor, you can picture this as an attack by a nucleophile on a carbocation.

After the carbonyl is attacked by the nucleophile, the negatively charged oxygen has the capacity to act as a nucleophile. However, most commonly the oxygen acts instead as a base, abstracting a proton from a nearby acid.
This very common type of reaction is called a nucleophilic addition. In many biologically relevant examples of nucleophilic addition to carbonyls, the nucleophile is an alcohol oxygen or an amine nitrogen, or occasionally a thiol sulfur. In one very important reaction type known as an aldol reaction (which we will learn about in section 13.3) the nucleophile attacking the carbonyl is a resonance-stabilized carbanion. In this chapter, we will concentrate on reactions where the nucleophile is an oxygen or nitrogen.

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Nucleophilic Substitution of RCOZ (Z = Leaving Group)
General reaction
General mechanism

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Carbonyl compounds with leaving groups have reactions similar to aldehydes and ketones. The main difference is the presence of an electronegative substituent that can act as a leaving group during a nucleophile substitution reaction. Although there are many types of carboxylic acid derivatives known, this article focuses on four: acid halides, acid anhydrides, esters, and amides.

General reaction
General mechanism

1) Nucleophilic attack on the carbonyl

\[
\begin{align*}
\text{R} & \quad \text{C} & \quad \text{L} \\
\text{Nuc} & \quad \rightarrow & \quad \text{R} & \quad \text{C} & \quad \text{L} \\
& & & \text{Nuc} & \quad \rightarrow & \quad \text{R} & \quad \text{C} & \quad \text{Nuc} \text{Tetrahedral Intermediate}
\end{align*}
\]

2) Leaving group is removed

\[
\begin{align*}
\text{R} & \quad \text{C} & \quad \text{L} \\
\text{Nuc} & \quad \rightarrow & \quad \text{R} & \quad \text{C} & \quad \text{Nuc} & \quad \text{L}^- \\
& & & + & \quad \text{L}^-
\end{align*}
\]

Although aldehydes and ketones also contain carbonyls, their chemistry is distinctly different because they do not contain suitable leaving groups. Once a tetrahedral intermediate is formed, aldehydes and ketones cannot reform their carbonyls. Because of this, aldehydes and ketones typically undergo nucleophilic additions and not substitutions.

The relative reactivity of carboxylic acid derivatives toward nucleophile substitutions is related to the electronegative leaving group's ability to activate the carbonyl. The more electronegative leaving groups withdraw electron density from the carbonyl, thereby increasing its electrophilicity.

- Prof. Steven Farmer (Sonoma State University)